
FACSIMILE TRANSMISSION

DATE: NOVEMBER 3, 2008

PAGE 1 OF 5

TO: REFUND BRANCH

FACSIMILE NO.: 571-273-6500

FROM: ROBERT R. MALLINCKRODT

TRANSMITTED BY: JUDY ANDERSON

OUR DOCKET NO.: 02207-25610.PCT.US (SERIAL NO. 10/562,165)

REMARKS:

The deposit account 20-0100 for Thorpe North and Western, LLP (customer no. 20,551) has been charged a large entity payment for an RCE mailed 08/02/2008 for the above-referenced matter. This client is small entity and we respectfully request a refund of \$405.00.

Thank you for your assistance, if you need further information, please contact us. Enclosed please find a copy of the Deposit Account Statement, Transmittal and check which was sent originally to pay for small entity filing.

The pages that follow may contain sensitive, privileged or confidential information intended solely for the addressee named above. If you receive this message and are not the agent or employee of the addressee, and have therefore been sent or received this communication in error, you are asked not to disseminate or copy any of the attached and are to notify the sender immediately by telephone. Please also return the original message to the sender by mail.

**THORPE
NORTH AND
WESTERN**

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November 3, 2008

Via Facsimile to: 571-273-6500
Attn: Refund Branch

Mail Stop 16
Director of the USPTO
P. O. Box 1450
Alexandria, VA 22313-1450

Re: Request for Refund
Application No: 10/562,165
Title **WORKING MEDIUM FOR CYCLIC STEAM PROCESSES**
Our Reference: 02207-25610.PCT.US

Dear Sirs:

We respectfully request refund of an over-charge of fees charged to Deposit Account 20-0100 on September 3, 2008. A copy of the Statement of Deposit Account is enclosed.

The fee charged was \$810 for filing an RCE for other than small entity (Fee Code 1814), but should have been \$405.00 for a statutory disclaimer for a small entity (Fee Code 2814). A copy of the Fees paid for filing this application is enclosed which denotes the small entity status for this application.

Any questions may be directed to the undersigned.

Sincerely yours,

THORPE NORTH & WESTERN, LLP



Robert R. Mallinckrodt

RM/ja
Enclosure

www.tnw.com

8180 South 700 East
Suite 350
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Deposit Account Statement

United States
Patent and
Trademark Office

Return To:
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Deposit Account Statement

Requested Statement Month:

September 2008

Deposit Account Number:

200100

Name:

THORPE NORTH & WESTERN

Attention:

ACCOUNTING

Street Address 1:

P O BOX 1219

Street Address 2:

City:

SANDY

State:

UT

Zip:

84091-1219

Country:

UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/03	31	10562185	25610.PCT.US	1801 billed	\$810.00	\$3,893.60
09/12	2	11479124	02149-24581.NP	2201 billed	\$105.00	\$3,788.60
09/12	3	11479124	02149-24561.NP	2202 billed	\$25.00	\$3,763.60
09/22	2	10862829	23344.NP	2202 billed	\$150.00	\$3,613.60
09/22	1	11440793	00802-24943	1201 billed	\$210.00	\$3,403.60
09/23	1	11077998	00138-22088.CIP	2261 billed	\$60.00	\$3,343.60

START SUM OF
BALANCE CHARGES
\$4,703.60 \$1,360.00 ✓

SUM OF END
REPLENISH BALANCE
\$.00 \$3,343.60 ✓

Balanced

Ch # 25

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PTO/SB/21 (09-04)

Approved for use through 07/31/2008. OMB 0651-0001
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number 10/562,185

Filing Date December 23, 2005

First Named Inventor Michael Hostger

Art Unit


Examiner Name

Attorney Docket Number 02207-22139


ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Statement under 37 CFR 3.73(b)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	Copy of Notification of Insufficient Fees (DO/EQ/US)
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	Remarks	\$865.00 check
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Applicant claims small entity status.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Thorpe North & Western, LLP		
Signature			
Printed name	Robert R. Mallinckrodt		
Date	Aug. 23, 2006	Reg. No.	26,565

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:	
Signature	
Typed or printed name	Robert R. Mallinckrodt
Date	Aug 23, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

THORPE NORTH & WESTERN, L.L.P.
GENERAL OPERATING ACCOUNT
P.O. BOX 1219
SANDY, UT 84091-1219
PH: (801) 566-6633

2008 FIRST NATIONAL BANK
Sandy/Southwest Office 120 West 1000 South
Sandy, Utah 84070

8/23/2006

\$ 665.00

PAY TO THE ORDER OF Commissioner of Patents and Trademarks

Six Hundred Sixty Five and 00/100

02207-22139 (26610 PCT US) Nationalization Fees

MEMO

IAP15 Rec'd PCT/PTO 28 AUG 2006

File No. 2207-22139Client Name Michael HoetgerSerial No. 10/562,165 Atty. RRMDate Mailed: 8-23-2006

Please acknowledge receipt of:

- ☐ Patent Application
- ☐ Drawings _____ sheets
 - ☐ Informal ☐ Formal
- ☒ Certificate of Mailing
- ☐ Nonpublication Request
- ☒ Check No. 26173 for 665.00
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- ☒ Power of Attorney
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- ☒ Transmittal Letter
- ☐ Assignment with Cover
- ☐ Amendment
- ☒ Response Copy of Notification of
- ☐ Extension of time petition Insignificant
- ☐ Missing Parts Response & copy of Notice to File
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- ☐ Request for PCT
- ☐ Demand for PCT examination
- ☐ PCT Fees
- ☒ Other Revocation of PCT with

new PCT & Change of Address

Statement Under 37 CFR 3.73(b)

PTO/SB/30 (04-07)

Approved for use through 09/30/2007. OMB 0851-0031

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**Request
for
Continued Examination (RCE)
Transmittal**

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	10/562,165
Filing Date	12/23/2005
First Named Inventor	Michael Hoetger
Art Unit	3748
Examiner Name	NGUYEN, HOANG M.
Attorney Docket Number	02207-25610.PCT.US

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SEP 02 2008

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- ii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____
2. **Miscellaneous**
- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(f) required)
- b. ☐ Other _____
3. **Fees**
- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 20-0100. I have enclosed a duplicate copy of this sheet.
- a. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature	<i>Robert R. Mallinckrodt</i>	Date	<i>Sept 2, 2008</i>
Name (Print/Type)	Robert R. Mallinckrodt	Registration No.	26565

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature	<i>Robert R. Mallinckrodt</i>	Date	<i>9/2/2008</i>
Name (Print/Type)	Robert R. Mallinckrodt		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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PAGE 2/9 * RCVD AT 9/2/2008 7:51:26 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/5 * DNS:2738300 * CSID:801 566 6673 * DURATION (mm:ss):03:16

11/07/2008 HDESTA1 00000001 200100 10562165

01 FC:2801

405.00 DA

Adjustment Date: 11/07/2008 HDESTA1
09/03/2008 HMRZ11 00000024 200100
01 FC:1801 810.00 CR

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HMRZ11

09/03/2008

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